



PRIVACY STATEMENT

Your Privacy is Our Priority

The confidentiality of client information is of the utmost importance to Caretta Capital Management, LLC. Caretta Capital Management, LLC is committed to safeguarding the personal information that you provide us. This Privacy Policy describes how we handle and protect personal information we collect about individuals, such as you, who apply for or receive our products and services. The provisions of this notice apply to former customers as well as our current customers.

Why and How We Collect Personal Information

During the course of opening Client accounts and managing the accounts, Caretta Capital Management, LLC collects nonpublic personal information from its Clients which includes information provided by Clients on account applications and forms, and through transactions that occur in Clients' trading accounts. This may include account numbers, addresses, telephone numbers, e-mail addresses, social security numbers, date of birth, etc.

How We Protect Personal Information

We limit access to your personal information to those employees who need to know in order to conduct our business, service your account, and help you accomplish your financial objectives. Our employees are required to maintain and protect the confidentiality of your personal information and must follow established procedures to do so. We maintain physical, electronic, and procedural safeguards to protect your personal information. We do not rent or sell your name or personal information to anyone.

Sharing Information

Caretta Capital Management, LLC limits access to the information obtained from a Client to only those employees who need it to perform their job duties while providing services to the Client.

Caretta Capital Management, LLC does not disclose any nonpublic personal information about its clients to anyone, except as may be deemed necessary by Caretta Capital Management, LLC to comply with the rules and regulations that govern its Commodity Trading Advisor operations which are regulated by the Commodity Futures Trading Commission and National Futures Association. This may include compliance service providers that assist Caretta Capital Management, LLC in compiling performance data and computing the Advisor's fees.

At times, Caretta Capital Management, LLC may be required to furnish complete client records to regulators, legal counsel, courts of competent jurisdiction, or other entities as deemed necessary by the Advisor or required by law. In addition, the Advisor may be required to furnish tax information to the Internal Revenue Service.